

STATE PERFORMANCE PLAN (SPP)

- ◆ Required by Congress through reauthorization
- ◆ Due December 2, 2005
- ◆ Evolution:
 - Continuous Improvement Monitoring Plan (CIMP)
 - Continuous Improvement Focused Monitoring System (CIFMS) - APR
 - SPP

The SPP and APR Slides

Taken from Summer Institute slides authored by Ruth Ryder, Gregg Corr, Jane Nell Luster, Marsha Brauen, & Larry Wexler

SEC. 616. MONITORING, TECHNICAL ASSISTANCE, AND ENFORCEMENT.

(b) STATE PERFORMANCE PLANS-

(1) PLAN-

(A) IN GENERAL- Not later than 1 year after the date of enactment of the Individuals with Disabilities Education Improvement Act of 2004, each State shall have in place a performance plan ...

(2) TARGETS-

(A) IN GENERAL- As a part of the State performance plan described under paragraph (1), each State shall establish measurable and rigorous targets for the indicators established under the priority

SPP & APR

Similarities and Differences

◆ Similarities

- Many of the indicators are the same (*as in the last APR*)
- Plans/reports include baseline, targets, improvement strategies, timelines, resources, progress, slippage
- Stakeholder involvement
- Public process

Similarities and Differences

◆ Differences

- Statutory
- 6 year performance plan
- Measurable and rigorous targets
- Reporting to the public on every LEA/EIS program, every year, for every indicator

SPP Content

Determined by the Secretary

- ◆ Monitoring Priority (2)
 - Ex: EI service in natural environments
- ◆ Indicator (14)
 - Ex: % receive EI in timely manner
- ◆ Measurement
 - Ex: $\frac{\# \text{ received in timely manner}}{\text{total \# times}} \times 100$.

SPP Content Con't

State Responsibility

- ◆ Overview of Issue/Description of System or Process
 - ◆ Baseline Data
 - ◆ Discussion of Baseline Data
 - ◆ FFY – which data are being reported
 - ◆ Measurable & Rigorous Targets
 - ◆ Improvement
 - Activities/Timelines/Resources
- (Content modified for 3 new indicators)

Statutory Requirements

- ◆ State shall use the targets in the SPP to analyze the performance of each LEA/EIS Program in the State
- ◆ State shall report annually to the public on the performance of each LEA/EIS Program in the State on the targets in the SPP

Annual Report to Public

§616(b)(2)(C)(ii)(I)

- The State shall report annually to the public on the *performance of each local educational agency (or early intervention service provider)* located in the State on the targets in the State's performance plan.

State Performance Plan

§616(b)(2)(C)(ii)

- The State shall make the State's performance plan available through public means, including by *posting on the website of the State educational agency, distribution to the media, and distribution through public agencies.*

Annual Report to Public

§616(b)(2)(C)(ii)(I)

- The State must report on:
- *Every indicator (except General Supervision)*
- *Every agency*
- *Every year*



Annual Report to Public

§616(b)(2)(C)(ii)(I)

- The State must sample every agency at least once every 6 years.
- How will you report on non-sampled agencies?



Five Steps to Stakeholder Input

- I. Study Description, Baseline & Discussion
- II. Understand new elements to SPP:
 - Compliance vs. Performance Indicator
 - Measurable & Rigorous Targets
 - Critical Components to SPP Approval
 - SPP vs. APR
- III. Support Target Development
- IV. Support Development of Activities, Timelines & Resources
- V. Do It Quickly

Step I

- ◆ Materials Available:

Step II

Compliance indicators

Part C

1, 7, 8, 9, 10, 11 & 14

Targets cannot be less than
100%

Considerations for Compliance Indicators

- ◆ Data sets (FFY 2004 or more current)
- ◆ 100% is a TARGET
- ◆ Approval - SPP *must* include:
 - TARGETS of 100% for indicators: 1, 7, 8 & 9; and
- ◆ 100% means that when you identify noncompliance, it is corrected within one year of identification and that it stays corrected over time

New indicators

PART C

3, 4 & 12

Baseline & Targets

due in the FFY 2005 (2005-2006) APR

due February 1, 2007

*(Activities to get a baseline are due in
SPP 12/2/05)*

Performance Indicators

PART C

2, 5, 6, & 13

- Baseline/Target in 04 APR
- Due Dec. 2, 2005

Measurable & Rigorous Targets

Within the context of the State Performance Plan, measurable and rigorous targets are established with broad stakeholder input and specify the challenging levels of improved performance to be reached within a particular timeframe.

Measurable & Rigorous Means

SMA_{bc}RT

SPECIFIC

MEASURABLE

ACHIEVABLE, BUT
CHALLENGING (Abc)

RELEVANT

TIMED

Specific:

- ◆ Targets must be directly related to the indicators

Measurable:

- ◆ Measurable - Quantifiable

Achievable, But Challenging:

- ◆ Targets should be realistic, but a stretch
- ◆ Targets should not be a wish list
- ◆ Targets should be ambitious

Relevant:

- ◆ Targets should be relevant to stakeholders.
- ◆ Targets should be relevant to current baseline.
- ◆ Targets should be established within the context of the state's improvement goals.

Timed

- ◆ Six year plan
 - SY 2006-2011
- ◆ Intermediate
 - annual

NCSEAM Checklists

- ◆ Is a target designated for each indicator for each federal fiscal year?
- ◆ Do the targets for each of the indicators show a slope of improvement?
- ◆ Are the targets for each of the indicators quantifiable?

CRITICAL COMPONENTS FOR SPP APPROVAL

◆ Overview of the SPP

Development – must describe and include

- Process for obtaining stakeholder input and
 - ✓ Broad Stakeholder process **must**, at a minimum, indicate input from the
 - State Advisory Panel (Part B)
 - State Interagency Coordinating Council (Part C). The SICC **must** sign off on the APR if it is being used as the annual report

CRITICAL COMPONENTS FOR SPP APPROVAL

- ◆ **Baseline Data for FFY 2004 (2004-2005)**
 - Baseline data for those indicators that are not **NEW** **must** be included
 - Baseline **must** be quantifiable (numeric)
 - Baseline **must** be clear
 - Data used **must** be accurate, valid, and reliable

CRITICAL COMPONENTS FOR SPP APPROVAL

◆ Improvement Activities (Strategies)

- Activities **must** be specific
- Timelines
 - ◆ some activities **must** be completed at intervals throughout the six years
- Activities **must** be reasonably designed to assist the state in meeting targets

All required information must be included

- ◆ Except for baseline and targets for new indicators, all items **must** be completed
- ◆ There **must** be a clear connection between the Overview of Issue/Description of System or Process and the Indicator

“Must do” Summary

- ◆ Overview of SPP Development
 - Stakeholder input
- ◆ Overview/description of indicator process
- ◆ Baseline for “not new” indicators
- ◆ Quantifiable baseline
- ◆ Clear baseline & target
- ◆ Accurate, valid, reliable data
- ◆ Strategies for improvement
- ◆ Timelines
- ◆ Any other required information

Statutory Requirements: APR

- ◆ State shall collect valid and reliable information as needed to report annually
- ◆ State shall report annually to the Secretary on the performance of the State on the SPP

APR Content

In APR, States will provide annually:

- ◆ Actual performance against the targets
- ◆ Discussion of improvement activities completed and explanation of progress or slippage
- ◆ Any revisions to approved targets, improvement activities, timelines or resources – with justifications.
- ◆ How state will report annually to public on each indicator on each LEA/Provider

Summary

- ◆ Targets = 100% on compliance
- ◆ You may not always reach your target
 - why not?
 - what will you do?
 - how will you ensure 100% (0%) over time?
- ◆ New indicators
- ◆ Performance indicators
- ◆ Questions – call your OSEP State contact (*or RRC*)

SPP Resources Web-Site

◆ Part B

◆ www.federalresourcecenter.org/frc/sppb.htm

◆ Part C

◆ www.federalresourcecenter.org/frc/sppc.htm

Organization of Staff Effort:

- ◆ Leads: Karen Durst & Kyla Patterson
- ◆ Staff Team – Including Monitoring & TA
- ◆ Department Administration

Step III

Organization of Stakeholder Target Development Support:

- ◆ Target Development:
 - Indicator 2: Setting

What will influence the slope?

What role will the ICC play?

- Indicator 5 & 6: Child Count

What will influence the slope?

What role will the ICC play?

- Indicator 13: Mediation

What will influence the slope?

What role will the ICC play?

Step IV

Organization of Stakeholder Activities, Timelines & Resources Development Support

- ◆ Activities, Timelines & Resources:
 - Indicators 1, 2, 7, 8:

How will data be gathered?

How will 100% be reached?
(Activities/Resources)

- Indicators 3 & 4:

GSEG

- Indicators 5 & 6:

How can there be improved performance?

- Indicators 12:

How will this process be instituted?

- Indicator 13:

How will mediation be improved?

- Indicators 9, 10 & 11:

What are the issues?

- Indicator 14

Is there an issue?

Step V

Act Quickly:

Time Line

- VICC Input 9/14/05
- Staff revise based on VICC input 10/7/05
- Revise draft SPP to include targets and improvement activities 10/14/05
- Disseminate to VICC for final review and to solicit input from additional representatives of their stakeholder group.
- Post on website for review and input. 10/17/05
- Comments due back 11/4/05
- Include status of work on SPP in EI Update 10/31/05
- Revise SPP based on feedback received 11/10/05
- Route for Commissioner signature 11/11/05
- Submit SPP to OSEP 12/2/05
- Post finalized SPP on the web, inform public 12/10/05